

# Lossy Source Coding under a Maximum Distortion Constraint with Decoder Side-Information

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A basic problem in information theory is source coding under a distortion constraint when the decoder has side-information about the source [1]. Traditionally, the constraint imposed is that the expected distortion between the source and its reconstruction averaged over the block being coded not exceed a given value. In certain applications (e.g. medical imaging) however, this constraint is considered too weak: we require that with probability 1 the maximum samplewise distortion in a block not exceed a given value.

In this paper we shall focus on the problem of variable length coding of a memoryless source under a maximum distortion constraint when there is side-information solely at the decoder. Using a combinatorial approach, we derive a non-single-letter expression for the minimum asymptotic average rate as well as single-letter bounds on this rate. These quantities reduce to known ones when the reconstruction is required to be exact. We also derive necessary and sufficient conditions for an upper and lower bound on the rate to coincide.

The key elements of the problem setup are: a sequence of pairs of random variables  $\{(X_i, Y_i) \in \mathcal{X} \times \mathcal{Y}\}$ , drawn independently at each instant from some common distribution  $P_{XY}(X, Y)$ , a distortion measure  $d: \mathcal{X} \times \mathcal{Z} \rightarrow [0, \infty)$ , and a distortion level  $D \geq 0$ .  $\mathcal{X}, \mathcal{Y}$  and  $\mathcal{Z}$  are the source, side-information and reconstruction alphabets, respectively. These sets are assumed to be finite.

Unlike the exact reconstruction case, graph-theoretic tools are insufficient for an analysis of the rate and we need to work with general families of sets. Let  $\mathcal{A}$  be the family of  $D$ -balls ( $\{x \in \mathcal{X} : d(x, z) \leq D\}$ ) corresponding to the elements  $z \in \mathcal{Z}$ . Let  $\mathcal{B}$  be the family of fan-out sets ( $\{x \in \mathcal{X} : p(x, y) > 0\}$ ) of elements  $y \in \mathcal{Y}$ . Without loss of generality, we can assume that  $\mathcal{B}$  and  $\mathcal{A}$  are covering ( $\cup_{d \in \mathcal{D}} d = \mathcal{X}$ ) independence systems ( $s \in \mathcal{D}, s' \subset s \Rightarrow s' \in \mathcal{D}$ ). Let  $x \in \mathcal{X}$  be observed at the encoder. At the decoder, the side-information restricts the possible observations to some element of  $\mathcal{B}$  containing  $x$ . If we are required to reconstruct the source within distortion  $D$ , we can accomplish this by specifying a set that contains  $x$  and whose intersection with every element of  $\mathcal{B}$  results in some element of  $\mathcal{A}$ . Let  $\bar{\mathcal{B}}(\mathcal{A})$  denote the family of all sets whose intersection with every element of  $\mathcal{B}$  results in some element of  $\mathcal{A}$  (note that the family of sets whose intersection with every element of  $\bar{\mathcal{B}}(\mathcal{A})$  is in  $\mathcal{A}$ , denoted  $\bar{\bar{\mathcal{B}}}(\mathcal{A})$  strictly contains  $\bar{\mathcal{B}}(\mathcal{A})$  in general). Therefore variable length scalar coding can be accomplished by first partitioning  $\mathcal{X}$  with sets from  $\bar{\mathcal{B}}(\mathcal{A})$  and then using a prefix-free code to encode the sets in the partition. For coding vectors of length  $n$ ,  $\bar{\mathcal{B}}^n(\mathcal{A}^n)$  are the sets available for forming the partition. This observation, along with some ideas from [2, 3] leads to the following:

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**Theorem 1.** *The minimum asymptotic average rate*

$$R^*(P_{XY}, D) = \lim_{n \rightarrow \infty} \frac{H_X(P_X^n, \bar{\mathcal{B}}^n(\mathcal{A}^n))}{n},$$

where  $H_X(P, \mathcal{D}) \triangleq \min_c \{H(c(X)) : X \sim P, c \text{ partitions } \mathcal{X} \text{ with sets from } \mathcal{D}\}$  for a set family  $\mathcal{D}$ , and  $H(\cdot)$  is the entropy.

Note that  $R^*(P_{XY}, D)$  depends only on the marginal  $P_X$  and the set families  $\mathcal{B}, \mathcal{A}$ .

Using the results of Wyner-Ziv [1], the fact that  $\bar{\mathcal{B}}^n(\mathcal{A}^n) \supset [\bar{\mathcal{B}}(\mathcal{A})]^n$ , and that the rate is unchanged if we replace  $\mathcal{B}$  by  $\bar{\mathcal{B}}(\mathcal{A})$ , we obtain the following bounds on  $R^*(P_{XY}, D)$ :

$$\begin{aligned} H_\kappa(P_X, \mathcal{A}) - H_\kappa(P_X, \bar{\mathcal{B}}(\mathcal{A})) &\leq \\ &\leq \max_{X \in Y \in \bar{\mathcal{B}}(\mathcal{A})} \min_{\substack{X \in S \in \bar{\mathcal{B}}(\mathcal{A}) \\ S \leftrightarrow X \leftrightarrow Y}} I(X; S|Y) \leq R^*(P_{XY}, D) \leq \\ &\leq H_\kappa(P_X, \bar{\mathcal{B}}(\mathcal{A})), \end{aligned}$$

where  $H_\kappa(P, \mathcal{D}) \triangleq \min_{\substack{X \sim P \\ X \in T \in \mathcal{D}}} I(X; T)$  is the minimum asymptotic rate required to cover  $\mathcal{X}^n$  with sets from  $\mathcal{D}^n$ .  $X \in T \in \mathcal{D}$  denotes that the random variable  $T$  is defined over  $\mathcal{D}$  such that  $p(t|x) > 0 \Rightarrow t \ni x$ .  $\leftrightarrow$  relates elements of a Markov chain.

The next theorem presents necessary and sufficient conditions on the sets  $\mathcal{B}$  and  $\mathcal{A}$  such that the upper bound and the weaker lower bound coincide for some nowhere vanishing distribution  $P$ .

**Theorem 2.** *Given set families  $\mathcal{B}, \mathcal{A}$  and  $\mathcal{C} \subset \bar{\mathcal{B}}(\mathcal{A})$ ,*

$$H_\kappa(P, \mathcal{B}) + H_\kappa(P, \mathcal{C}) = H_\kappa(P, \mathcal{A}).$$

*for some nowhere vanishing  $P$  if and only if*

1. *There exist covering families  $\mathcal{B}_0 \subset \mathcal{B}$  and  $\mathcal{C}_0 \subset \mathcal{C}$  such that  $b \cap c \neq \emptyset, \forall b \in \mathcal{B}_0, c \in \mathcal{C}_0$ . Let  $\mathcal{A}_0 \triangleq \{b \cap c : b \in \mathcal{B}_0, c \in \mathcal{C}_0\}$ .*
2. *There exists a function  $r: \mathcal{X} \rightarrow (0, \infty)$  such that*

$$\begin{aligned} \sum_{x \in a} r(x) &= 1, \forall a \in \mathcal{A}_0 \\ \sum_{x \in a} r(x) &\leq 1, \forall a \notin \mathcal{A}_0 \end{aligned}$$

For the noiseless coding with side-information scenario, the first condition reduces to the condition for normality [4] of the confusability graph of the source with  $\mathcal{B}$  as the set of cliques and  $\mathcal{A}$  as the set of singletons along with the empty set. The second condition is trivially satisfied by the function  $r(x) = 1, \forall x \in \mathcal{X}$ .

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